



Contact Officer:  
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To: Cllr Helen Brown (Chair)

Councillors: Glyn Banks, Jean Davies, Chris Dolphin, Andy Dunbobbin,  
Paul Johnson and Arnold Woolley

**Co-opted Member:**

Sally Ellis

13 March 2018

Dear Sir/Madam

You are invited to attend a meeting of the Audit Committee which will be held at 10.00 am on Wednesday, 21st March, 2018 in the Clwyd Committee Room, County Hall, Mold CH7 6NA to consider the following items

**A G E N D A**

**1 APOLOGIES**

**Purpose:** To receive any apologies.

**2 DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)**

**Purpose:** To receive any Declarations and advise Members accordingly.

**3 MINUTES (Pages 5 - 14)**

**Purpose:** To confirm as a correct record the minutes of the meeting on 24 January 2018.

**4 TREASURY MANAGEMENT QUARTERLY UPDATE 2017/18 (Pages 15 - 30)**

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

**Purpose:** To provide an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices to the end of February 2018.

5 **WALES AUDIT OFFICE (WAO) AUDIT PLAN 2018** (Pages 31 - 72)

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

**Purpose:** The Wales Audit Office, being the Council's external auditor, has prepared an audit plan for 2018 for the Council and the Clwyd Pension Fund which sets out their proposed audit work for the year along with timescales, costs and the audit teams responsible for carrying out the work.

6 **CERTIFICATION OF GRANTS AND RETURNS 2016/17** (Pages 73 - 94)

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

**Purpose:** To inform Members of the grant claim certification by Wales Audit Office for the year ended 31st March 2017.

7 **RISK MANAGEMENT STRATEGY** (Pages 95 - 136)

Report of Chief Executive - Leader of the Council and Cabinet Member for Finance

**Purpose:** Endorse the refresh of the Risk Management Strategy

8 **INTERNAL AUDIT STRATEGIC PLAN 2018/2021** (Pages 137 - 160)

Report of Internal Audit Manager

**Purpose:** To present the proposed Internal Audit Plan for the three year period 2018/19 to 2020/21 for Members' consideration.

9 **PUBLIC SECTOR INTERNAL AUDIT STANDARDS** (Pages 161 - 212)

Report of Internal Audit Manager

**Purpose:** To inform the committee of the results of the annual internal assessment of conformance with the Public Sector Internal Audit Standards (PSIAS).

10 **INTERNAL AUDIT PROGRESS REPORT** (Pages 213 - 248)

Report of Internal Audit Manager

**Purpose:** To present to the Committee an update on the progress of the Internal Audit Department.

11 **ACTION TRACKING** (Pages 249 - 254)

Report of Internal Audit Manager

**Purpose:** To inform the Committee of the actions resulting from points raised at previous Audit Committee meetings.

12 **FORWARD WORK PROGRAMME** (Pages 255 - 262)

Report of Internal Audit Manager

**Purpose:** To consider the Forward Work Programme of the Internal Audit Department.

**NOTE: Annual Meeting with Internal and External Auditors**

In accordance with the agreed procedure, members of the Audit Committee will meet with the Internal and External Auditors immediately following the meeting.

Yours faithfully



Robert Robins  
Democratic Services Manager